## Colorado Liquor Retail License Application

New License N	lew-Concurrent	Transfer o	of Ownership	State Property	Only	Master file	
<ul> <li>All answers must be printed in black ink or typewritten</li> <li>Applicant must check the appropriate box(es)</li> <li>Applicant should obtain a copy of the Colorado Liquor and Beer Code: www.colorado.gov/enforcement/liquor</li> </ul>							
1. Applicant is applying as a/an	Individual	Limited Liabil	ity Company	Association or C	Other		
	Corporation	Partnership (i	includes Limited	Liability and Husban	d and	Wife Partnerships)	
<ol> <li>Applicant If an LLC, name of LLC;</li> </ol>	-			-		FEIN Number	
					Business Telephone		
3. Address of Premises (specify exact	3. Address of Premises (specify exact location of premises, include suite/unit numbers)						
City			County		State	ZIP Code	
4. Mailing Address (Number and Stre	eet)		City or Town		State	ZIP Code	
5. Email Address			1		1	1	
6. If the premises currently has a liqu	or or beer license, you	must answer	the following quest	ions			
Present Trade Name of Establishmen	t (DBA)	Present State	e License Number	Present Class of Licer	nse	Present Expiration Date	
Section A	Nonrefundable Appl	lication Fees*	Section B (Cont.)	,		Liquor License Fees*	
Application Fee for New License		\$1,550.00	Liquor–License	ed Drugstore (County)		\$312.50	
Application Fee for New License w/						\$500.00	
Application Fee for Transfer		\$1,550.00				\$500.00	
Section B	Liquor L	icense Fees*				\$75.00	
Add Optional Premises to H & R	\$100.00 X	Total	🗌 🗌 Manager Regi	stration - Tavern		\$75.00	
			🗌 🗆 Manager Regi	stration - Lodging & Ente	ertainme	ent\$75.00	
Add Related Facility to Resort Complex \$75.00 X Total						ex\$75.00	
Add Sidewalk Service Area						\$500.00	
□ Arts License (City)\$308.75 □ Arts License (County)\$308.75 □						\$500.00	
Beer and Wine License (City)\$308.75						\$500.00	
Beer and Wine License (City)						\$500.00	
□ Brew Pub License (City)			· · ·	• • •		\$500.00	
						y)\$160.00	
Campus Liquor Complex (Courty)						unty) \$160.00	
Campus Liquor Complex (State)						ate)\$160.00	
Club License (City)			-			\$500.00	
Club License (County)						\$500.00	
Distillery Pub License (City)						\$227.50 ')\$312.50	
Distillery Pub License (County)						,\$312.50 \$227.50	
Hotel and Restaurant License (City)		\$500.00				\$312.50	
Hotel and Restaurant License (County)				• • •		\$500.00	
Hotel and Restaurant License w/one	opt premises (City)	\$600.00				\$500.00	
□ Hotel and Restaurant License w/one	opt premises (County).	\$600.00		( <b>)</b> ,			
<ul> <li>□ Hotel and Restaurant License wone opt premises (County)</li></ul>							
* Note that the Division will not accept cash							
Questions? Visit: www.colorado.gov/enforcement/liquor for more information							
Dor	not write in this s		-	Revenue use on	ly		
License Account Number	Liability Date		nformation ed Through (Expira	tion Date)	Total		
					\$		

## **Application Documents Checklist and Worksheet**

**Instructions:** This checklist should be utilized to assist applicants with filing all required documents for licensure. **All** documents must be properly signed and correspond with the name of the applicant <u>exactly</u>. **All** documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable. **Questions? Visit:** *www.colorado.gov/enforcement/liquor* **for more information** 

	Items submitted, please check all appropriate boxes completed or documents submitted
Ι.	Applicant information
	A. Applicant/Licensee identified
	B. State sales tax license number listed or applied for at time of application
	<ul> <li>C. License type or other transaction identified</li> <li>D. Return originals to local authority (additional items may be required by the local licensing authority)</li> </ul>
	<ul> <li>D. Return originals to local authority (additional items may be required by the local licensing authority)</li> <li>E. All sections of the application need to be completed</li> </ul>
	<ul> <li>F. Master file applicants must include the Application for Master File form DR 8415 and applicable fees to this</li> </ul>
	Retail License Application
П.	Diagram of the premises
	A. No larger than 8 1/2" X 11"
	B. Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences,
	walls, entry/exit points, etc.)
	C. Separate diagram for each floor (if multiple levels)
	<ul> <li>D. Kitchen - identified if Hotel and Restaurant</li> <li>E. Bold/Outlined Licensed Premises</li> </ul>
Ш.	Proof of property possession (One Year Needed)
	A. Deed in name of the applicant (or) (matching question #2) date stamped / filed with County Clerk
	$\square$ B. Lease in the name of the applicant (or) (matching question #2) date stamped r filed with county clerk
	C. Lease assignment in the name of the applicant with proper consent from the landlord and acceptance by the applicant
	D. Other agreement if not deed or lease. (matching question #2)
IV.	Background information (DR 8404-I) and financial documents
	A. Complete DR 8404-I for each principal (individuals with more than 10% ownership, officers, directors,
	partners, members)
	B. Fingerprints taken and submitted to the appropriate Local Licensing Authority through an approved state
	vendor. <b>Do not complete fingerprint cards prior to submitting your application.</b> The Vendors are as follows:
	IdentoGO – https://uenroll.identogo.com/
	Phone: 844-539-5539 (toll-free)
	IdentoGO FAQs: https://www.colorado.gov/pacific/cbi/identification-faqs
	Colorado Fingerprinting – http://www.coloradofingerprinting.com
	Appointment Scheduling Website: http://www.coloradofingerprinting.com/cabs/
	Phone: 720-292-2722 Toll Free: 833-224-2227
	C. Purchase agreement, stock transfer agreement, and/or authorization to transfer license
	D. List of all notes and loans (Copies to also be attached)
<b>V</b> .	Sole proprietor/husband and wife partnership (if applicable) A. Form DR 4679
	<ul> <li>A. Form DR 4079</li> <li>B. Copy of State issued Driver's License or Colorado Identification Card for each applicant</li> </ul>
	Corporate applicant information (if applicable)
VI.	□ A. Certificate of Incorporation
	<ul> <li>B. Certificate of Good Standing</li> </ul>
	C. Certificate of Authorization if foreign corporation (out of state applicants only)
VII.	Partnership applicant information (if applicable)
	A. Partnership Agreement (general or limited).
	B. Certificate of Good Standing
	. Limited Liability Company applicant information (if applicable)
	□ A. Copy of articles of organization
	<ul> <li>B. Certificate of Good Standing</li> <li>C. Conv. of Operating Agreement (if applicable)</li> </ul>
	<ul> <li>C. Copy of Operating Agreement (if applicable)</li> <li>D. Certificate of Authority if foreign LLC (out of state applicants only)</li> </ul>
IX.	
<b>.</b>	Complex licenses when included with this application
	$\square$ A. \$75.00 fee
	B. Individual History Record (DR 8404-I)
	C. If owner is managing, no fee required

DR 04	104 (01/22/20)							
Nam	le		Type of Lice	ense	Account N	umber		
7.	Is the applicant (including any of the partners if a stockholders or directors if a corporation) or man		•		ability company; or offic	cers,	Yes	No
8.	Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state):							
	<b>a.</b> Been denied an alcohol beverage license?			er state).				
	b. Had an alcohol beverage license suspended or revoked?							
	<b>c.</b> Had interest in another entity that had an alcol		suspended or	revoked?			Н	H
If vo	u answered yes to 8a, b or c, explain in detail on a	0						
	Has a liquor license application (same license cla preceding two years? If "yes", explain in detail.		within 500 fe	et of the propo	sed premises, been de	enied within the		
10.	Are the premises to be licensed within 500 feet, of	of any public or private	e school that	meets compuls	sorv education requirer	ments of		
	Colorado law, or the principal campus of any coll						0	or
					Waiver by lo Other:	ocal ordinance?		
11.	Is your Liquor Licensed Drugstore (LLDS) or Resales in a jurisdiction with a population of greater that begins at the principal doorway of the LLDS/ way of the Licensed LLDS/RLS.	than (>) 10,0000? No	<b>DTE</b> : The dist	tance shall be	determined by a radius	measurement		
12.	12. Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 3000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of less than (<) 10,0000? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS.							
13	a. For additional Retail Liquor Store only. Was you	ır Retail Liquor Store	License issue	ed on or before	January 1, 2016?			
13	<b>b.</b> Are you a Colorado resident?							
14.	14. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a Limited Liability Company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any <u>current</u> financial interest in said business including any loans to or from a licensee.							
15.	15. Does the applicant, as listed on line 2 of this application, have legal possession of the premises by ownership, lease or other arrangement?							
	Ownership Lease Other (Explain in	/						
	a. If leased, list name of landlord and tenant, and	date of expiration, exa	ctly as they a	appear on the le	ease:			
Land	dlord	Tenant				Expires		
	b. Is a percentage of alcohol sales included as co	ompensation to the la	ndlord? If ye	s, complete qu	estion 16.			
	c. Attach a diagram that designates the area to b partitions, entrances, exits and what each roor							
16.	Who, besides the owners listed in this application (i inventory, furniture or equipment to or for use in the							
Last	Name	First Name		Date of Birth	FEIN or SSN	Interest/F	Percer	ntage
Last	Name	First Name		Date of Birth	FEIN or SSN	Interest/F	Percer	ntage
part rela	Attach copies of all notes and security instruments and any written agreement or details of any oral agreement, by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation.							
17.	<ul> <li>17. Optional Premises or Hotel and Restaurant Licenses with Optional Premises:</li> <li>Has a local ordinance or resolution authorizing optional premises been adopted?</li> </ul>							
1	Number of additional Optional Premise areas requested. (See license fee chart)							
	For the addition of a Sidewalk Service Area per the local governing body authorizing use of the s other legal permissions.	idewalk. Documentati						
19.	Liquor Licensed Drugstore (LLDS) applicants, an a. Is there a pharmacy, licensed by the Colorado If "yes" a copy of license must be attached	Board of Pharmacy, I	ocated within	the applicant's	s LLDS premise?			

	404 (01/22/20)							
Nam	ne	Type of	License		Account Number			
20.	Club Liquor License applicants answer the following: Attach a co	py of applic	able documen	tation		Yes	No	
	<ul> <li>a. Is the applicant organization operated solely for a national, social, fraternal, patriotic, political or athletic purpose and not for pecuniary gain?</li> <li>b. Is the applicant organization a regularly chartered branch, lodge or chapter of a national organization which is operated solely for the object of a patriotic or fraternal organization or society, but not for pecuniary gain?</li> </ul>							
	c. How long has the club been incorporated?							
	d. Has applicant occupied an establishment for three years (three ye			ed solely for th	e reasons stated above?			
21.	Brew-Pub, Distillery Pub or Vintner's Restaurant applicants answer a. Has the applicant received or applied for a Federal Permit? (Cop			ust he attache	d)			
22.	Campus Liquor Complex applicants answer the following:	by of permit						
	<b>a.</b> Is the applicant an institution of higher education?							
	<ul> <li>b. Is the applicant a person who contracts with the institution of hig If "yes" please provide a copy of the contract with the institu-</li> </ul>				od services.			
23.	<ul> <li>For all on-premises applicants.</li> <li>a. Hotel and Restaurant, Lodging and Entertainment, Tavern Licen Individual History Record <ul> <li>DR 8404-1 and fingerprint submitted to approved State Vendor</li> </ul> </li> <li>b. For all Liquor Licensed Drugstores (LLDS) the Permitted Manager</li> </ul>	through the	Vendor's websi	te. See applica	ation checklist, Section IV, fo			
	- DR 8000 and fingerprints.		an Manag					
Last	t Name of Manager	First Na	ame of Manager	-				
24.	Does this manager act as the manager of, or have a financial inter- Colorado? If yes, provide name, type of license and account numb		ther liquor licent	sed establishn	nent in the State of	Yes	No	
25.	Related Facility - Campus Liquor Complex applicants answer the f	-						
	<ul> <li>a. Is the related facility located within the boundaries of the Campus Liquor Complex?</li> <li>If yes, please provide a map of the geographical location within the Campus Liquor Complex.</li> <li>If no, this license type is not available for issues outside the geographical location of the Campus Liquor Complex.</li> </ul>							
	b. Designated Manager for Related Facility- Campus Liquor Comp		ma of Managa					
Lasi	t Name of Manager	FIISUNA	ame of Manager					
26.	Tax Information.					Yes	No	
	a. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant, been found in final order of a tax agency to be delinquent in the payment of any state or local taxes, penalties, or interest related to a business?							
	<b>b.</b> Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant failed to pay any fees or surcharges imposed pursuant to section 44-3-503, C.R.S.?							
	27. If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers, Directors, General Partners, and Managing Members. In addition, applicant must list any stockholders, partners, or members with ownership of 10% or more in the applicant. All persons listed below must also attach form DR 8404-1 (Individual History Record), and make an appointment with an approved State Vendor through their website. See application checklist, Section IV, for details.							
Nam	ne Home Address, City & S	State		DOB	Position	%Ow	ned	
Nan	ne Home Address, City & S	State		DOB	Position	%Ow	vned	
Nam	ame Home Address, City & State DOB Position		Position	%Ow	ned			
Nam	ame Home Address, City & State DOB Position		%Ow	ned				
Nam	Name     Home Address, City & State     DOB     Position     9					%Ow	ned	
** C	<ul> <li>** If applicant is owned 100% by a parent company, please list the designated principal officer on above.</li> <li>** Corporations - the President, Vice-President, Secretary and Treasurer must be accounted for above (Include ownership percentage if applicable)</li> <li>** If total ownership percentage disclosed here does not total 100%, applicant must check this box:</li> <li>Applicant affirms that no individual other than these disclosed herein owns 10% or more of the applicant and does not have financial interest in a prohibited liquor license pursuant to Article 3 or 5, C.R.S.</li> </ul>							

Name	ne		Account Number				
	Oath Of	Applicant					
Oath Of Applicant I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.							
Authorized Signature	Title			Date			
Report and Approval of Local Licensing Authority (City/County)							
Date application filed with local authority Da	te of local authority hearing	(for new license applicants	s; cannot be less	s than 30 days from date	of application)		
The Local Licensing Authority Hereby Affirms that each person required to file DR 8404-I (Individual History Record) or a DR 8000 (Manager Permit) has been:      Fingerprinted     Subject to background investigation, including NCIC/CCIC check for outstanding warrants That the local authority has conducted, or intends to conduct, an inspection of the proposed premises to ensure that the applicant is in compliance with and aware of, liquor code provisions affecting their class of license (Check One)     Date of inspection or anticipated date							
Will conduct inspection upon approval of s	tate licensing authority						
□ Is the Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1,500 feet of another retail liquor license for off- premises sales in a jurisdiction with a population of > 10,0000?							
Is the Liquor Licensed Drugstore(LLDS) or Retail Liquor Store (RLS) within 3,000 feet of another retail liquor license for off- premises sales in a jurisdiction with a population of < 10,0000?							
<b>NOTE:</b> The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the Licensed LLDS/RLS.							
Does the Liquor-Licensed Drugstore (LLDS) have at least twenty percent (20%) of the applicant's gross annual income derived from the sale of food, during the prior twelve (12) month period?							
The foregoing application has been examined; and the premises, business to be conducted, and character of the applicant are satisfactory. We do report that such license, if granted, will meet the reasonable requirements of the neighborhood and the desires of the adult inhabitants, and will comply with the provisions of Title 44, Article 4 or 3, C.R.S., and Liquor Rules. <b>Therefore, this application is approved.</b>							
Local Licensing Authority for		Telephone Number		Town, City County			
Signature	Print	,	Title	, <b>,</b>	Date		
Signature Print Title				Date			

## Tax Check Authorization, Waiver, and Request to Release Information

I, \_\_\_\_\_\_ am signing this Tax Check Authorization, Waiver and Request to Release Information (hereinafter "Waiver") on behalf of \_\_\_\_\_\_ (the "Applicant/Licensee") to permit the Colorado Department of Revenue and any other state or local taxing authority to release information and documentation that may otherwise be confidential, as provided below. If I am signing this Waiver for someone other than myself, including on behalf of a business entity, I certify that I have the authority to execute this Waiver on behalf of the Applicant/Licensee.

The Executive Director of the Colorado Department of Revenue is the State Licensing Authority, and oversees the Colorado Liquor Enforcement Division as his or her agents, clerks, and employees. The information and documentation obtained pursuant to this Waiver may be used in connection with the Applicant/Licensee's liquor license application and ongoing licensure by the state and local licensing authorities. The Colorado Liquor Code, section 44-3-101. et seq. ("Liquor Code"), and the Colorado Liquor Rules, 1 CCR 203-2 ("Liquor Rules"), require compliance with certain tax obligations, and set forth the investigative, disciplinary and licensure actions the state and local licensing authorities may take for violations of the Liquor Code and Liquor Rules, including failure to meet tax reporting and payment obligations.

The Waiver is made pursuant to section 39-21-113(4), C.R.S., and any other law, regulation, resolution or ordinance concerning the confidentiality of tax information, or any document, report or return filed in connection with state or local taxes. This Waiver shall be valid until the expiration or revocation of a license, or until both the state and local licensing authorities take final action to approve or deny any application(s) for the renewal of the license, whichever is later. Applicant/Licensee agrees to execute a new waiver for each subsequent licensing period in connection with the renewal of any license, if requested.

By signing below, Applicant/Licensee requests that the Colorado Department of Revenue and any other state or local taxing authority or agency in the possession of tax documents or information, release information and documentation to the Colorado Liquor Enforcement Division, and is duly authorized employees, to act as the Applicant's/Licensee's duly authorized representative under section 39-21-113(4), C.R.S., solely to allow the state and local licensing authorities, and their duly authorized employees, to investigate compliance with the Liquor Code and Liquor Rules. Applicant/Licensee authorizes the state and local licensing authorities, their duly authorized employees, and their legal representatives, to use the information and documentation obtained using this Waiver in any administrative or judicial action regarding the application or license.

Name (Individual/Business)	So	Social Security Number/Tax Identification Number			
Address					
City	Sta	ate	Zip		
Home Phone Number	Business/Work Phone Number				
Printed name of person signing on behalf of the Applicant/Licensee	<u> </u>				
Applicant/Licensee's Signature (Signature authorizing the disclosure of confidential tax information)			Date signed		
Privacy Ac Providing your Social Security Number is voluntary and no result of refusal to disclose it. § 7 of Privacy Act, 5 USCS § 5		ivilege provided b	y law will be denied as a		